

Having one or three uncles: equally acceptable. A study about number mismatches in nominal Right-Node-Raising in German

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Background: The phenomenon of right-node-raising (RNR) (*Joe likes ___ and John hates pizza.*) [1; 2] does not restrict the category or constituent status of the omitted expression (see 1.a) [3]. Attested mismatches in RNR, which are investigated here (see 1.b), are not explained by syntactic accounts such as ATB-movement or multiple dominance [2]. Mismatches contradict the claimed condition of RNR that the omitted expression must be phonologically identical to the target expression [4], see (1.b). However, Christ's [5] introspective work on German RNR states that there is a gradual decrease in acceptance of number mismatches of nouns, which depends on the noun class. Moreover, based on French and English data for verbal mismatches, Shiraishi et al. [6] claim that RNR only demands lexeme identity. In contrast to verbal mismatches, we investigate noun mismatches in German RNR empirically for the first time. Following Christ [5], we investigate if the complexity of plural inflection affects the acceptability of German noun mismatches in RNR, meaning the more morphemes are used to form a plural, the less acceptable RNR becomes.

Method: *Acceptability rating* We differentiated three types of plural forms in our mismatch condition: Syncretic plural (2.a, e. g. *Onkel*→*Onkel*), suffix plural (-e) (2.b, e. g. *Fisch*→*Fische*), suffix and umlaut plural (-e + umlaut) (2.c, e. g. *Ast*→*Äste*). A high rating for syncretic plural and low(er) rating for suffix or suffix and umlaut or a significant difference between suffix versus suffix and umlaut would support the theory of graduality. A high rating of each of the categories would support lexeme identity. We also tested these forms in a matching context (2.d-2.f). 48 participants recruited from Prolific saw each 24 items and 48 fillers, which they rated on a slider scale ranging from totally unacceptable to totally acceptable (internally coded 0-100).

Results: For the plural categories tested here, the LMM [7] (forward coded) did not show a significant gradual effect of complexity of plurals on the acceptability of RNR in German noun mismatches, but a significant main effect for mismatches being rated lower than matches ($t(1078) = -4.61, p < 0.05$) (see Tab. 1). All three categories show a high acceptability for both matches and mismatches (Fig. 1).

Discussion: We don't find any evidence for Christ's [5] account, not seeing a different rating between plural categories. On the contrary, constant acceptability throughout categories is speaking in favor of Shiraishi et al.'s [6] theory of lexeme identity. Nevertheless, we plan further experiments with more irregular plural forms investigating if there is instead a turning point in acceptability.

- (1) a. *Tim ist für ~~Atomkraft~~ und Tom ist gegen Atomkraft.*
Tim is for nuclear power and Tom is against nuclear power.
- b. *Hans hat eine ~~Maus~~ und Peter hat mehrere Mäus-e.* (Christ, 2011: 383)
Hans has one ~~mouse~~.SG and Peter has several mouse.PL-PL.
- (2) a. *Niklas hat einen und Benjamin hat vier Onkel.* (Syncretic mismatch)
Niklas has one and Benjamin has four uncles.PL
- b. *Jasmin fängt einen und Leonie fängt sieben Fisch-e.* (Suffix mismatch)
Jasmin catches one and Leonie catches seven fish-PL.
- c. *Sebastian sammelt einen und Nele sammelt zehn Äst-e.* (Suffix and Umlaut mismatch)
Sebastian gathers one and Nele gathers ten branch-PL
- d. *Niklas hat drei und Benjamin hat vier Onkel.* (Syncretic match)
Niklas has three and Benjamin has four uncles.
- e. *Jasmin fängt vier und Leonie fängt sieben Fisch-e.* (Suffix match)
Jasmin catches four and Leonie catches seven fish-PL.
- f. *Sebastian sammelt vier und Nele sammelt zehn Äst-e.* (Suffix and Umlaut match)
Sebastian gathers four and Nele gathers ten branch-PL.

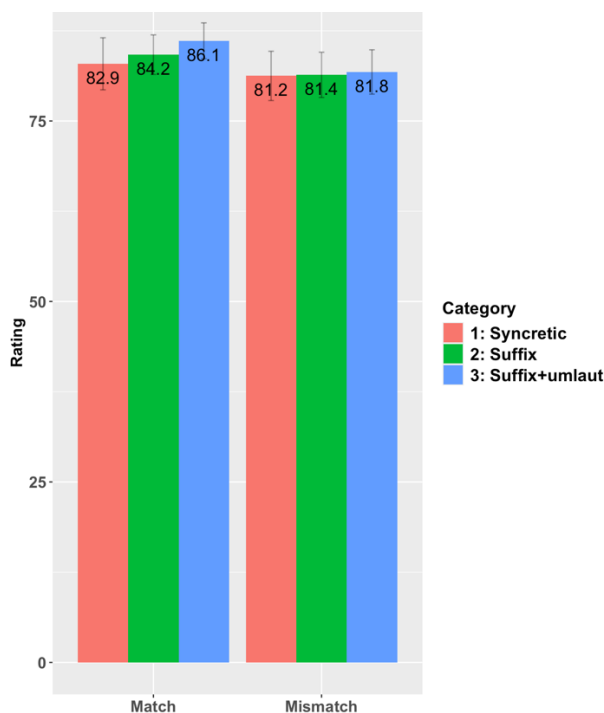


Table 1 Fixed effects of the linear mixed model
(lmer(Rating~Match*Category+(1|ID)+(1|Noun)).

| | Estimate | t-value | p-value |
|--------------------------------------|----------|---------|---------|
| Intercept | 84.41 | 51.4 | <0.05 |
| MatchMismatch | -2.93 | -4.61 | <0.05 |
| Syncretic_vs_Other | -1.27 | -0.86 | >0.05 |
| Suffix_vs_SuffixUmlaut | -1.92 | -1.30 | >0.05 |
| MatchMismatch:Syncretic_vs_Other | 1.13 | 0.72 | >0.05 |
| MatchMismatch:Suffix_vs_SuffixUmlaut | 1.52 | 0.97 | >0.05 |

Figure 1 Mean acceptability ratings for the six tested conditions, errorbars show standard deviation.

References [1] Ross, J. R. (1967). *Constraints on variables in syntax*. MIT dissertation. [2] Wilder, C. (1999). Right node raising and the LCA. *Proceedings of WCCFL*, 18. 586–598. [3] Hartmann, K. (2000). *Right node raising and gapping*. John Benjamins. [4] Sternefeld, W. (2009). *Syntax. Eine morphologisch motiviertegenerative Beschreibung des Deutschen*. Band 2(3). Tübingen: Stauffenburg. [5] Christ, R. (2011). Linkstilgung und Phonologische Quasi-Identität. *Linguistische Berichte*, 2011(228), 371-411. [6] Shiraishi, A., Abeillé, A., Hemforth, B. & Miller, P. (2019). Verbal mismatch in Right-Node Raising. *Glossa: a journal of general linguistics*. [7] Bates, D., Mächler, M., Bolker, B. and Walker, S. (2015). Fitting linear mixed effects models using lme4. *Journal of Statistical Software*, 67(1), 1-48.